

**AGREED RECORD**  
**OF CONCLUSIONS OF FISHERIES CONSULTATIONS BETWEEN**  
**NORWAY, THE EUROPEAN UNION, THE FAROE ISLANDS, ICELAND,**  
**THE RUSSIAN FEDERATION AND THE UNITED KINGDOM ON THE MANAGEMENT OF**  
**THE NORWEGIAN SPRING-SPAWNING (ATLANTO-SCANDIAN)**  
**HERRING IN THE NORTH-EAST ATLANTIC IN 2021**  
**20-21 October 2020**

1. A delegation from Norway headed by Mr Vidar LANDMARK, a delegation from the European Union headed by Mr Fabrizio DONATELLA, a delegation from the Faroe Islands, headed by Mr Herluf SIGVALDSSON, a delegation from Iceland, headed by Mr Kristján Freyr HELGASON, a delegation from the Russian Federation, headed by Ms Anna SHULAEVA and a delegation from the United Kingdom headed by Mr Colin FAULKNER met on 20-21 October via video-conference to consult on the management of the Norwegian Spring-Spawning (Atlanto-Scandian) Herring stock in the North-East Atlantic in 2021.

A delegation from Greenland, headed by Mr Jákup Emil Hansen, attended the meeting as observer.

2. The delegations noted that the agreed long-term management strategy for Norwegian Spring-Spawning (Atlanto-Scandian) herring dated 6 November 2018 is regarded by ICES as consistent with the precautionary approach (Annex I).
3. In accordance with the ICES advice, the delegations agreed that the level of catches of Norwegian Spring-Spawning (Atlanto-Scandian) herring in the North-East Atlantic for 2021 should be no more than 651 033 tonnes. The delegations agreed that establishing unilateral quotas for 2021 does not in any way imply the acceptance of the level of these quotas by any Party.
4. The delegations encouraged the Parties to develop and coordinate their scientific research activities in order to improve the information available for the assessment and the management of this stock.
5. The delegations welcomed a presentation from The Norwegian Institute of Marine Research on the progress with the participatory process to update the "Report of the Coastal states Working Group on the distribution of Norwegian Spring Spawning Herring in the North East Atlantic and the Barents Sea, Copenhagen 4-7 March 2014" and the overview of methods for calculations of zonal attachment. Furthermore, methodologies to be used for calculations of zonal attachment for this stock were presented. In this regard, the delegations welcomed the fact that Norway intends to continue this work and to convene other scientific meetings with a view to keep the report up-to-date.
6. The delegations exchanged final quota and catch information for 2018 and 2019 as well as preliminary information for 2020 (Annex II). The delegations also exchanged information

with regard to their fishing activities including exchanges and access provisions (Annex III).

7. The delegations agreed to continue to provide information in the same format as set out in Annex II and Annex III to this Agreed Record. Each Party shall, by 1 May 2021, provide updated information in this regard to the host of the consultations for 2022. The host shall forward this information as soon as possible to all Parties to this Agreed Record.
8. The delegations agreed that the Parties may fish Norwegian Spring-Spawning (Atlanto-Scandian) herring within their quotas in their respective zones of fisheries jurisdiction and in international waters.
9. The delegations agreed that further arrangements by the Parties, including arrangements for access, quota transfers and other conditions for fishing in the respective zones of fisheries jurisdiction, are regulated by bilateral arrangements.

21 October 2020

Vidar LANDMARK  
For the Delegation of Norway

Fabrizio DONATELLA  
For the Delegation of the European Union

Herluf SIGVALDSSON  
For the Delegation of the Faroe Islands

Kristján Freyr HELGASON  
For the Delegation of Iceland

Anna SHULAEVA  
For the Delegation of the Russian Federation  
With remarks (attached to this Agreed Record  
In Annex IV)

Colin FAULKNER  
For the Delegation of the United Kingdom

**LONG-TERM  
MANAGEMENT STRATEGY  
FOR THE NORWEGIAN SPRING  
SPAWNING (ATLANTO-SCANDIAN)  
HERRING STOCK**

1. The Parties agree to implement a long-term management strategy for the fisheries on the Norwegian Spring Spawning (Atlanto-Scandian) Herring stock, which is consistent with the precautionary approach and the MSY approach, aiming at ensuring harvest rates within safe biological limits.
2. For the purpose of this long-term management strategy, in the following text, "TAC" means the total allowable catch as agreed by Coastal States.
3. As a priority, the long-term management strategy shall ensure with high probability that the size of the stock is maintained above  $B_{lim}$ .
4. In the case that the spawning biomass is forecast to be above or equal to  $B_{trigger}$  ( $=B_{pa}$ ) on 1 January of the year for which the TAC is to be set, the TAC shall be fixed to a fishing mortality of  $F_{mgt}=0.14$ .
5. Where the rules in paragraph 4 would lead to a TAC, that deviates by more than 20% below or 25% above the TAC of the preceding year, the Parties shall fix a TAC that is respectively no more than 20% less or 25% more than the TAC of the preceding year. The TAC constraint shall not apply if the spawning biomass at 1 January in the year for which the TAC is to be set is less than  $B_{trigger}$ .
6. In the case that the spawning biomass (SSB) is forecast to be less than the precautionary biomass ( $B_{trigger}$ ) but above or equal to  $B_{lim}$  on 1 January of the year for which the TAC is to be set, the TAC shall be fixed at a level that is consistent with a fishing mortality given by:
 
$$\text{Target } F = 0.05 + [(SSB - B_{lim}) * (F_{mgt} - 0.05) I(B_{trigger} - B_{lim})]$$
7. In the case that the spawning biomass is forecast to be less than  $B_{lim}$  on 1 January of the year for which the TAC is to be set, the TAC will be fixed corresponding to a fishing mortality  $F=0.05$ .
8. Each Party may transfer to the following year unutilised quantities of up to 10% of the quota allocated to it. The quantity transferred shall be in addition to the quota allocated to the Party concerned in the following year.

9. Each Party may authorise fishing by its vessels of up to 10% beyond the quota allocated. However, this shall not apply if the stock is forecast to be under  $B_{\text{trigger}}$  at the end of the TAC year. All quantities fished beyond the allocated quota for one year shall be deducted from the Party's quota allocated for the following year.
  
10. The Parties, on the basis of ICES advice, shall review this long-term management strategy at intervals not exceeding five years. The first such review shall take place no later than 2023, in time for ICES to issue advice for 2024.

## ANNEX II

### Reporting of quotas and catches of Norwegian spring-spawning (Atlanto-Scandian) herring in the North-East Atlantic - 2018-2020

2018					CATCHES (tonnes)										
Party	Coastal State share	Balance from 2017	Quota exchange	Quotas after transfers and flexibility	NEAFC Regulatory Area	European Union Zone	Faroese Fishing Zone	Greenland fishing zone	Icelandic Exclusive Economic Zone	Norwegian Economic Zone	Fishery Zone around Jan Mayen	Fishery Protection Zone around Svalbard	Russian Economic Zone	TOTAL CATCH	Balance
European Union	28 319	2 889		31 208	7 529	305	1 727			19 988				29 549	1 659
Faroe Islands	88 350	13 280	-11 600	90 030	44 155		31 517		4 920	519				81 111	8 919
Iceland	72 428	14 418		86 846	21 905		22 628		37 192					81 725	5 121
Norway	304 500	23 648		328 148	34 849					297 178				332 028	-3 880
Russian Federation	55 767	223	9 100	65 090	54 421		8 769			994				64 184	906
<b>Total Coastal States</b>	<b>549 364</b>	<b>54 458</b>	<b>-2 500</b>	<b>601 322</b>	<b>162 859</b>	<b>305</b>	<b>64 641</b>		<b>42 112</b>	<b>318 679</b>				<b>588 597</b>	<b>12 725</b>
Greenland	25 000		2 500	27 500			2 465	339						2 804	24 696
<b>Total all Parties</b>	<b>574 364</b>	<b>54 458</b>		<b>628 822</b>	<b>162 859</b>	<b>305</b>	<b>67 106</b>	<b>339</b>	<b>42 112</b>	<b>318 679</b>				<b>591 401</b>	<b>37 421</b>

  

2019					CATCHES (tonnes)										
Party	Coastal State share	Balance from 2018	Quota exchange	Quotas after transfers and flexibility	NEAFC Regulatory Area	European Union Zone	Faroese Fishing Zone	Greenland fishing zone	Icelandic Exclusive Economic Zone	Norwegian Economic Zone	Fishery Zone around Jan Mayen	Fishery Protection Zone around Svalbard	Russian Economic Zone	TOTAL CATCH	Balance
European Union	38 315	1 659		39 974	23 241		1 327			12 366				36 935	3 039
Faroe Islands	124 657	8 919	-11 755	121 821	49 591		26 664		37 685					113 940	7 881
Iceland	102 174	5 121		107 295	15 270		12 381		78 233					105 884	1 411
Norway	429 650	-3 880		425 770	113 309					317 195				430 504	-4 734
Russian Federation	75 454	352	8 555	84 361	75 278		8 353			406			1	84 038	323
<b>Total Coastal States</b>	<b>770 250</b>	<b>12 171</b>	<b>-3 200</b>	<b>779 221</b>	<b>276 689</b>		<b>48 725</b>		<b>115 918</b>	<b>329 967</b>			<b>1</b>	<b>771 301</b>	<b>7 920</b>
Greenland	25 000		3 200	28 200	1 461		1 729							3 190	25 010
<b>Total all Parties</b>	<b>795 250</b>	<b>12 171</b>		<b>807 421</b>	<b>278 150</b>		<b>50 454</b>		<b>115 918</b>	<b>329 967</b>			<b>1</b>	<b>774 491</b>	<b>32 930</b>

  

2020 (Provisional)					CATCHES (tonnes)										
Party	Coastal State share	Balance from 2019	Quota exchange	Quotas after transfers and flexibility	NEAFC Regulatory Area	European Union Zone	Faroese Fishing Zone	Greenland fishing zone	Icelandic Exclusive Economic Zone	Norwegian Economic Zone	Fishery Zone around Jan Mayen	Fishery Protection Zone around Svalbard	Russian Economic Zone	TOTAL CATCH	Balance
European Union	34 216	3 039		37 255	37	27	22			11 740				11 825	25 430
Faroe Islands	115 893	7 881	-11 755	112 019	2 894		18 427		24 236					45 556	66 463
Iceland	91 243	1 411		92 654	3 972		11		79 500					83 483	9 171
Norway	399 451	-4 734		394 717						204 971				204 971	189 746
Russian Federation	67 381		8 555	75 936	291					778		8 179		9 248	66 688
<b>Total Coastal States</b>	<b>708 184</b>	<b>7 597</b>	<b>-3 200</b>	<b>712 581</b>	<b>7 193</b>	<b>27</b>	<b>18 460</b>		<b>103 736</b>	<b>217 489</b>		<b>8 179</b>		<b>355 084</b>	<b>357 498</b>
Greenland	25 000		3 200	28 200	1 622		657							2 279	25 921
<b>Total all Parties</b>	<b>733 184</b>	<b>7 597</b>		<b>740 781</b>	<b>8 815</b>	<b>27</b>	<b>19 117</b>		<b>103 736</b>	<b>217 489</b>		<b>8 179</b>		<b>357 363</b>	<b>383 419</b>

#### Guideline for the spreadsheet

Coastal state share/share	Quota allocated to the Party
Balance	Unutilised/fishing beyond the quota from last year. Includes unused quantity related to transfers between countries
Quota exchange	Transfers between countries
Quotas after transfers and flexibility	New quota

### ANNEX III

#### Transfers in 2020<sup>1</sup>

Party	Quotas	Balance from 2019	Total available	Transfer to					
				European Union	Faroe Islands	Iceland	Norway	Russian Federation	Greenland
European Union	34 216	3 039	37 255	---					
Faroe Islands	115 893	7 881	123 774		---			8 555	3 200
Iceland	91 243	1 411	92 654			---			
Norway	399 451	-4 734	394 717				---		
Russian Federation	67 381		67 381					---	
Greenland	25 000		25 000						---

<sup>1</sup> Transfers imply full access

#### Access arrangements in 2020

Party	Access to					
	European Union	Faroe Islands	Iceland	Norway	Russian Federation	Greenland
European Union	---	7 000		30 794		
Faroe Islands	7 000	---	100 %			
Iceland		100 %	---			
Norway	30 794			---	67 381	
Russian Federation					---	
Greenland						---

Remarks by the Delegation of the Russian Federation to the Agreed Record of Conclusions of Fisheries Consultations between Norway, the European Union, the Faroe Islands, Iceland, the Russian Federation and the United Kingdom on the Management of the Norwegian Spring-Spawning (Atlanto-Scandian) Herring  
in the North-East Atlantic (Agreed Record) in 2021

Under point 1 of the Agreed Record, the UK Delegation is listed among the delegations representing the Coastal States. At the same time, the adopted agenda of the Consultations does not contain the issue regarding that the UK participates in Consultations on the Management of the Norwegian Spring-Spawning (Atlanto-Scandian) Herring on an equal footing with the Coastal States. The stated issue has not been discussed by the parties as well. Therefore the Russian Delegation does not have enough time and scientific information to consider this issue.

In this connection the Russian Delegation is of the opinion that point 1 of the Agreed Record should be read:

“1. A delegation from Norway headed by Mr. Vidar LANDMARK, a delegation from the European Union headed by Mr. Fabrizio DONATELLA, a delegation from the Faroe Islands, headed by Mr. Herluf SIGVALDSSON, a delegation from Iceland, headed by Mr. Kristján Freyr HELGASON, a delegation from the Russian Federation, headed by Ms. Anna SHULAEVA on 20-21 October met via video-conference on 19-20 October to consult on the management of the Norwegian Spring-Spawning (Atlanto-Scandian) Herring stock in the North-East Atlantic in 2021.

A delegation from Greenland, headed by Mr. Jakup Emil Hansen, and a delegation from the United Kingdom, headed by Mr. Colin FAULKNER, attended the meeting as observers.”