
Legal basis:


COMMISSION IMPLEMENTING REGULATION (EU) No 771/2014 of 14 July 2014 laying down rules pursuant to Regulation (EU) No 508/2014 of the European Parliament and of the Council on the European Maritime and Fisheries Fund with regard to the model for operational programmes, the structure of the plans for the compensation of additional costs incurred by operators in the fishing, farming, processing and marketing of certain fishery and aquaculture products from the outermost regions, the model for the transmission of financial data, the content of the ex ante evaluation reports and the minimum requirements for the evaluation plan to be submitted under the European Maritime and Fisheries Fund
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Technical characteristics of the fields

Legend for the characteristics of fields:

- type: N = Number, D = Date, S = String, C = Checkbox, P = Percentage, B = Boolean
- input: M = Manual, S = Selection, G = Generated by system
- Maximum number of characters including spaces – “maxlength” (3,500 characters represents more or less 1 page)
PART I: INTRODUCTION

General context

"Europe 2020, a strategy for smart, sustainable and inclusive growth" sets out the strategic views of the Union for the next programming period. It defines precise objectives and corresponding targets which the Union should achieve by 2020. All Union policies (including the IMP and CFP) are expected to contribute to Europe 2020 objectives and targets.

As regards in particular Cohesion Policy, Rural Development Policy and the Maritime and Fisheries Policies, the Funds have been grouped under a "Common Strategic Framework" (CSF) which sets the strategic vision of the Union for the use of those Funds in the next programming period. The Common Provisions Regulation (CPR) establishes 11 Thematic Objectives (TO), derived from the Europe 2020 objectives, to which the ERDF, ESF, CF, EAFRD and EMFF – the European Structural and Investment Funds (the "ESI Funds") are expected to contribute within the scope of their respective fields of action.

Following the strategic orientations of the CSF and in consistency with their respective National Reform Programmes which the Member States have established for the implementation of the Europe 2020 strategy, the Member States will develop national strategies covering all the ESI Funds within Partnership Agreements (PA) to be negotiated with, and agreed by the Commission. In summary, the PA will have to define which relevant TO of the CPR will be targeted in each Member State through the ESI Funds and how the Funds will work together to achieve European objectives. Similarly to the CSF, which provides a common framework which replaces sectoral Community Strategic Guidelines, the PA will replace the separate national strategic frameworks – National Strategic Plans in the case of the EFF – which were developed for the different Funds in the 2007-2013 period.

In order to increase coordination between the ESI Funds and harmonisation of their rules of implementation, common provisions for these Funds have been set out in the "Common Provisions Regulation". The latter is complemented by Fund-specific Regulations, which specify detailed provisions for each of the Funds deriving from the specific characteristics and implementing mechanisms of the respective policies.

Strategic programming

As in the 2007-2013 programming period, the strategic orientations established within the Union and national strategies will be implemented on the ground through programmes covering the different policies.

In the case of EMFF programmes, the overall approach taken by the Commission proposal follows a logic of continuity with respect to the 2007-2013 programming period. Accordingly, Member States

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1 - The structure of this document mirrors the provisional structured approach of the new SFC2014 system for the electronic submission of the programmes (Article 74(4) of the CPR), which aims at simplifying as much as possible the handling of textual and numerical data and the assessment / analysis of the information and reporting, while limiting double encoding and the risks associated. It should be noted that this document will be complemented by a number of other guidance documents in relation to issues of relevance for the EMFF programming such as guidance on ex-ante evaluation, Community-led Local Development, ex-ante conditionalities, monitoring and evaluation etc. Cross references to those documents are included in the following chapters, where relevant.


3 See annex I of the Common Provisions Regulation.
will have to set out the overall strategy addressing the fisheries and aquaculture sectors and the sustainable development of fisheries areas based on a thorough analysis of the needs of the geographical area covered by the programmes. However, the overall strategy will also need to include data collection, control and enforcement, storage aid, compensation for outermost regions as well as the Integrated Maritime Policy.

The EMFF provides a clearer policy framework, based on the establishment of six Union priorities for the EMFF and a set of specific objectives. Thus a stronger intervention logic, a reinforced result-orientation and a strengthened monitoring and evaluation system will ensure that the EMFF can make a real contribution to the objectives of the CFP and the IMP, as well as the Europe 2020 Strategy.
PART II: TEMPLATE OF THE EMFF OPERATIONAL PROGRAMME

1. Preparation of the Operational Programme and involvement of partners
Legal basis:

Article 26(2) of CPR
Programmes shall be drawn up by Member States or any authority designated by them, in cooperation with the partners referred to in Article 5. Member States shall draw up the programmes based on procedures that are transparent for the public, in accordance with their institutional and legal framework.

Article 18(1)(m) of the EMFF Regulation
A list of the partners referred to in Article 5 of the CPR and the results of the consultation of the partners.

Article 26(4) of CPR
All programmes shall be accompanied by the ex ante evaluation as set out in Article 55.

Article 29 of CPR
1. The Commission shall assess the consistency of programmes with [...] the ex ante evaluation. The assessment shall address, in particular, the adequacy of the programme strategy, the corresponding objectives, indicators, targets and the allocation of budgetary resources.

Article 55 of CPR
1. Member States shall carry out ex ante evaluations to improve the quality of the design of each programme.
2. Ex ante evaluations shall be carried out under the responsibility of the authority responsible for the preparation of the programmes. They shall be submitted to the Commission at the same time as the programme, together with an executive summary. The Fund-specific rules may establish thresholds below which the ex ante evaluation may be combined with the evaluation for another programme.
3. Ex ante evaluations shall appraise:
   (a) the contribution to the Union strategy for smart, sustainable and inclusive growth, having regard to the selected thematic objectives and priorities, taking into account national and regional needs and potential for development as well as lessons drawn from previous programming periods;
   (b) the internal coherence of the proposed programme or activity and its relationship with other relevant instruments;
   (c) the consistency of the allocation of budgetary resources with the objectives of the programme;
   (d) the consistency of the selected thematic objectives, the priorities and corresponding objectives of the programmes with the CSF, the Partnership Agreement and the relevant country specific recommendations adopted in accordance with Article 121(2) TFEU and where appropriate at national level, the National Reform Programme;
   (e) the relevance and clarity of the proposed programme indicators;
   (f) how the expected outputs will contribute to results;
   (g) whether the quantified target values for indicators are realistic, having regard to the support envisaged from the ESI Funds;
   (h) the rationale for the form of support proposed;
   (i) the adequacy of human resources and administrative capacity for management of the programme;
   (j) the suitability of the procedures for monitoring the programme and for collecting the data necessary to carry out evaluations;
   (k) the suitability of the milestones selected for the performance framework;
   (l) the adequacy of planned measures to promote equal opportunities between men and women and to prevent any discrimination, in particular as regards accessibility for persons with disabilities;
   (m) the adequacy of planned measures to promote sustainable development;
   (n) measures planned to reduce the administrative burden on beneficiaries.
1.1. Preparation of the operational programme and involvement of partners

**Guidance**

Provide a summary including:

- the authority which has coordinated the preparation of the OP;
- the key stages of the preparation process;
- a description of the involvement of the partners referred in Article 5 of CPR in the preparation of the operational programme taking into account the requirements set out in the European Code of Conduct on Partnership. This description should include:
  - the actions taken to involve the relevant partner in the preparation of the programme,
  - the planned actions to ensure the participation of the partners in the implementation of the programmes;
- the role of the partners in the preparation of the OP, including their role in the analysis and identification of needs, the definition or selection of priorities and related specific objectives, the allocation of funding, the definition of programmes’ specific indicators, the implementation of the horizontal principles as defined in Articles 7 and 8 of Regulation (EU) No 1303/2013, the composition of the monitoring committee;
- an overview of the results of consultation of the partners and an explanation to which extent the main concerns, comments and recommendations put forward by the partners have been taken into account in the OP;
- where appropriate, an overview of the use of studies and expert groups.

The list of identified relevant partners following the structure laid down in Art 4 of the Commission Delegated Regulation (EU) No 240/2014 on the European code of conduct on partnership in the framework of the European Structural and Investment Funds should be attached to the OP but will not be part of the decision adopting the OP.

1.2. Outcome of the ex ante evaluation

**Guidance**


As foreseen in Article 26(4) of CPR, the full ex ante evaluation should be sent at the same time than the OP. The ex ante evaluation will not be part of the decision of the Commission.
1.2.1. Description of the ex ante evaluation process

**Guidance**

Provide a description of the process, including timing of main events, intermediate reports, etc.

1.2.2. Overview of the recommendations of the ex ante evaluators and brief description of how they have been addressed

**Guidance**

List of topics as pre-defined by the Commission:

- SWOT analysis, needs assessment;
- Construction of the intervention logic, including the contribution to the EU 2020, the internal coherence of the proposed programme and its relationship with other relevant instruments, the establishment of quantified targets and milestones and the distribution of budgetary resources;
- Consistency with the CSF, the Partnership Agreement, the relevant country specific recommendations adopted in accordance with Article 121(2) TFEU and where appropriate at national level, the National Reform Programme;
- Rationale for the forms of support proposed in the programme (Articles 55(3)(h) and 66 CPR);
  (NB: The ex-ante evaluation should also include considerations if support will be provided in the form of financial instruments or grants etc. (or a combination thereof)).
- Human resources and administrative capacity and the management of the programme;
- Procedures for monitoring the programme and collecting the data necessary to carry out evaluations;
- Measures to promote equal opportunities between men and women, prevent discrimination and promote sustainable development;
- Measures taken to reduce the administrative burden on beneficiaries;
- Requirements for the Strategic Environmental Assessment.

<table>
<thead>
<tr>
<th>Topic [Predefined by the COM]</th>
<th>Recommendation</th>
<th>How was the recommendation addressed, or why was it not taken into account</th>
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</table>
2. SWOT AND IDENTIFICATION OF NEEDS

Legal base:
Article 18(1)(a) of EMFF Regulation
An analysis of the situation in terms of the strengths, weaknesses, opportunities and threats (hereinafter "SWOT") and identification of the needs that have to be addressed in the geographical area, including where relevant sea basins, covered by the programme.

The analysis shall be structured around the relevant Union priorities and where applicable be consistent with the Multiannual National Strategic Plan for aquaculture referred to in Article 34 of the CFP Regulation and the progress to achieve good environmental status through the development and implementation of a marine strategy referred to in Article 5 of Directive 2008/56/EC [MSFD]. Specific needs concerning jobs, the environment, climate change mitigation and adaptation and promotion of innovation shall be assessed in relation to Union priorities, with a view to identifying the most relevant responses the level of each of the priorities related to those areas.

Guidance
The analysis of Strengths, Weaknesses, Opportunities, and Threats (SWOT) should provide a detailed analysis of the current situation in each of the policy areas covered by the EMFF and, where relevant, by taking into account the regional dimension of these policy areas. The SWOT analysis may also serve to inform the preparation of the Multiannual National Strategic Plan for aquaculture referred to in Article 34 of the CFP Regulation.

This analysis should lead to the identification of the needs, amongst which strategic choices can be made, in particular on the Union priorities and measures to address these needs. It is therefore directly connected with the strategy of the OP.

Due to its strategic importance, the SWOT analysis should be undertaken in consultation with the relevant stakeholders for each of the policy areas concerned.

The initial value of the relevant context indicators should be included in this analysis. The context indicators should be selected by the MS from the drop-down list of common indicators (pre-defined by the Commission) and should include all those that will be needed when assessing the results. The guidance for the ex ante evaluation of 2014-2020 EMFF OPs contains further guidelines for the SWOT.

2.1. SWOT ANALYSIS AND IDENTIFICATION OF NEEDS

Table below to be repeated for each of the relevant Union priority of the EMFF

<table>
<thead>
<tr>
<th>EMFF Union priority</th>
<th>Title of EMFF Union priority</th>
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<td>Strengths</td>
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<td>Weaknesses</td>
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</table>
2.2. **Context indicators presenting the initial situation**

*Table below to be repeated for each of the relevant EMFF Union priorities*

<table>
<thead>
<tr>
<th>EMFF Union priority</th>
<th>Title of EMFF Union priority</th>
<th>Context indicator presenting the initial situation</th>
<th>Baseline year</th>
<th>Value</th>
<th>Measurement unit</th>
<th>Source of information</th>
<th>Comments/Justification</th>
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3. **Description of the Strategy**

**Legal basis:**

**Article 27 of CPR**

1. Each programme shall set out a strategy for the programme's contribution to the Union strategy for smart, sustainable and inclusive growth consistent with this Regulation, the Fund-specific rules, and with the content of the Partnership Agreement. Each programme shall include arrangements to ensure effective, efficient and coordinated implementation of the ESI Funds and actions to achieve a reduction of the administrative burden on beneficiaries.

2. Each programme shall define priorities setting out specific objectives, financial appropriations of support from the ESI Funds and corresponding national co-financing, including amounts related to the performance reserve, which may be public or private in accordance with the Fund-specific rules.

3. Where Member States and regions participate in macro-regional strategies or sea basin strategies, the relevant programme, in accordance with the needs of the programme area as identified by the Member State, shall set out the contribution of the planned interventions to those strategies.

4. Each priority shall set out indicators and corresponding targets expressed in qualitative or quantitative terms, in accordance with the Fund-specific rules, in order to assess progress in programme implementation aimed at achievement of objectives as the basis for monitoring, evaluation and review of performance. Those indicators shall include:
   (a) financial indicators relating to expenditure allocated;
   (b) output indicators relating to the operations supported;
   (c) result indicators relating to the priority concerned.

   For each ESI Fund, the Fund-specific rules shall set out common indicators and may set out provisions related to programme-specific indicators.

5. Each programme, except those which cover exclusively technical assistance, shall include a description, in accordance with the Fund-specific rules, of the actions to take into account the principles set out in Articles 5, 7 and 8.

6. Each programme, except those where technical assistance is undertaken under a specific programme, shall set out the indicative amount of support to be used for climate change objectives, based on the methodology referred to in Article 8.

7. Member States shall draft the programme in accordance with the Fund-specific rules.

**Article 18(1)(b) of the EMFF Regulation**

A description of the strategy within the meaning of Article 27 of the CPR Regulation, which shall demonstrate that:

(i) appropriate targets are set for each Union priority included in the programme, on the basis of common result indicators referred to in Article 110;

(ii) the selection of relevant measures follows logically from each Union priority selected in the programme taking into account the conclusions of the ex ante evaluation and the analysis referred to in point (b). As regards the measures for the permanent cessation of fishing activities under Article 33b, such description shall include the targets and measures to be taken for the reduction of the fishing capacity in accordance with Article 22 of the CFP Regulation. A description of the method for the calculation of the premium to be granted under Articles 33a and 33b shall also be included;

(iii) the allocation of financial resources to the Union priorities included in the programme is justifiable and adequate to achieve the targets set.
**Guidance**

The strategy description should justify **the choice, the combination and the prioritisation** of the relevant specific objectives, Union priorities and the EMFF measures. Where relevant, a description should be included on how the EMFF will be used in outermost regions, the storage aid and for the common organisation of the markets in fishery and aquaculture products.

**Specific objectives**

Article 6 of the EMFF Regulation contains a list of specific objectives which seek to ensure that the EMFF supports the implementation of the reformed CFP. Member States are invited to select the relevant specific objectives taking into account the needs identified by the SWOT. The specific objectives pre-defined for the data collection and fisheries control, inspection and enforcement are obligatory for the inclusion in the EMFF OP.

For each Union priority, Article 6 sets out the following specific objectives:

**Union priority 1**: Promoting environmentally sustainable, resource–efficient, innovative, competitive and knowledge–based fisheries by pursuing the following specific objectives:

(a) the reduction of the impact of fisheries on the marine environment, including the avoidance and reduction, as far as possible, of unwanted catches;

(b) the protection and restoration of aquatic biodiversity and ecosystems;

(c) the ensuring of a balance between fishing capacity and available fishing opportunities;

(d) the enhancement of the competitiveness and viability of fisheries enterprises, including of small–scale coastal fleet, and the improvement of safety and working conditions;

(e) the provision of support to strengthen technological development and innovation, including increasing energy efficiency, and knowledge transfer;

(f) the development of professional training, new professional skills and lifelong learning.

**Union priority 2**: Fostering environmentally sustainable, resource efficient, innovative, competitive and knowledge based aquaculture by pursuing the following specific objectives:

(a) the provision of support to strengthen technological development, innovation and knowledge transfer;

(b) the enhancement of the competitiveness and viability of aquaculture enterprises, including the improvement of safety and working conditions, in particular of SMEs;

(c) the protection and restoration of aquatic biodiversity and the enhancement of ecosystems related to aquaculture and the promotion of resource efficient aquaculture;

(d) the promotion of aquaculture having a high level of environmental protection, and the promotion of animal health and welfare and of public health and safety;

(e) the development of professional training, new professional skills and lifelong learning.

**Union priority 3**: Fostering the implementation of the CFP by pursuing the following specific objectives:

(a) the improvement and supply of scientific knowledge as well as the improvement of the collection and management of data;

(b) the provision of support to monitoring, control and enforcement, thereby enhancing institutional capacity and the efficiency of public administration, without increasing the administrative burden.

**Union priority 4**: Increasing employment and territorial cohesion by pursuing the following specific objective: the promotion of economic growth, social inclusion and job creation, and providing support to employability and labour mobility in coastal and inland communities which depend on fishing and aquaculture, including the diversification of activities within fisheries and into other sectors of maritime economy.
Union priority 5: Fostering marketing and processing by pursuing the following specific objectives:
(a) the improvement of market organisation for fishery and aquaculture products;
(b) the encouragement of investment in the processing and marketing sectors.

Union priority 6: Fostering the implementation of the IMP.

The needs addressed by the EMFF intervention should be relevant and sufficiently justified by the SWOT analysis and by exploring synergies with the priorities identified in the Multiannual National Strategic Plan for the development of aquaculture.

3.1. DESCRIPTION OF THE STRATEGY OF THE OPERATIONAL PROGRAMME

Guidance

1. The strategy should address the needs identified above, establishing the overall aim of the intervention and the relative weight of each Union priority. The strategy is then developed through selecting the relevant specific objectives of article 6 that will contribute to this aim. The programme should be able to associate result indicators to these specific objectives and fix targets to be achieved.

Where aquaculture is concerned, the strategy of the OP should be consistent with the priorities identified in the Multiannual National Strategic Plan for the development of aquaculture.

The next step is to choose the relevant measures that will be used to reach those specific objectives and to indicate which output is expected from each measure, using common output indicators from the list in Annex II of this document.

Annex I of this document summarises the linkages between the specific objectives and the EMFF measures. It will be Member State's responsibility to establish the appropriate mix of measures to achieve their policy goals.

Financial and output indicators with their values and targets set for 2023 will be inserted in the Performance Framework (section 7 of this document) together with the milestones that will be used for the performance review in 2019.

The description of the strategy should highlight the synergies expected from the implementation of measures that are connected along the value chain. A strategy focusing on a more competitive aquaculture should for example include measures aiming at processing the new products and marketing them. Similarly, a strategic orientation towards more sustainable fisheries should include measures aimed at equipping fishing vessels with more selective gears but should also foresee that fishing ports are equipped to handle the by-catch landed.

2. Description of the programme's contribution to the EU2020 strategy (Article 27(1) sub-paragraph 1 of the CPR)
3.2. Specific objectives and result indicators (Article 27(4) of the CPR and Article 18(1)(b)i) of the EMFF Regulation)

**Guidance**

Article 6 of the EMFF Regulation outlines the Union priorities to be achieved through a series of specific objectives. Result indicators and targets should be set at the level of specific objectives (rather than per Union priorities).

The selection of relevant measures follows logically from each specific objective selected in the OP. Output indicators and targets will need to be established at the level of the measures selected.

NB: The common result indicators are mandatory and are defined in a delegated act. However, if needed, Member States can add programme specific result indicators in the table below.

*Table below to be repeated for each specific objective under the relevant Union priority*

<table>
<thead>
<tr>
<th>Union priority</th>
<th>Title of the Union priority</th>
<th>Specific objective</th>
<th>Title of the specific objective</th>
<th>Result indicator, i.e., target, which the Member State seeks to achieve with the EMFF support [select from a drop-down list, predefined by the COM] or [programme specific result indicator]</th>
<th>Title of the result indicator and its measurement unit</th>
<th>Target value for 2023</th>
<th>Measurement unit</th>
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### 3.3. RELEVANT MEASURES AND OUTPUT INDICATORS

*Table below to be repeated for each previously selected specific objective of the relevant Union priority*

**Guidance**

'Relevant measure' means each measure the MS wants to implement on the ground. A measure that is not included in the OP, and is not identified as contributing to a given Union priority, cannot be financed under the EMFF.

This principle is contained in Article 26(1) of the CPR where it is laid down that the ESI Funds shall be implemented through programmes. This means that those measures which are not included in the OP are not eligible for funding from the ESI Funds.

Please indicate for each measure whether you intend to include it in the performance framework. For each selected measure, the corresponding output indicator and its target for 2023 will be automatically included in table 7.1 'Performance framework'.

<table>
<thead>
<tr>
<th><strong>Union priority</strong></th>
<th>Title of the Union priority [filled in automatically by SFC2014 following the selection done in section 3.2.]</th>
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<tbody>
<tr>
<td><strong>Specific objective</strong></td>
<td>Title of the specific objective [filled in automatically by SFC2014 following the selection done in section 3.2.]</td>
</tr>
<tr>
<td><strong>Title of the selected relevant measure</strong></td>
<td><strong>Output indicators per measure</strong></td>
</tr>
<tr>
<td>Should the indicator be included in the Performance Framework</td>
<td>Title of output indicator with its measurement unit [select from a pre-defined list]</td>
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</table>

### 3.4. DESCRIPTION OF THE PROGRAMME’S COMPLEMENTARITY WITH OTHER ESI FUNDS
3.4.1. **COMPLEMENTARITY AND COORDINATION ARRANGEMENTS WITH OTHER ESI FUNDS (ARTICLE 27(1) SUBPARAGRAPH 2 OF THE CPR) AND OTHER RELEVANT UNION AND NATIONAL FUNDING INSTRUMENTS (ARTICLE 18(1)l) OF THE EMFF REGULATION**

**Guidance**

As regards the complementarity with other ESI Funds, the information provided in this text box should include:

- an identification of the areas where support under the OP can be used in a complementary manner with other ESI Funds to achieve the thematic objectives selected;
- a description of the way EMFF and other ESI funds financing will be combined (e.g. through financial instruments)
- an explanation of how synergies and complementarities will be exploited to ensure effectiveness, including e.g. by combining support from different instruments to support individual operations;
- a description on how other relevant funding sources will be used for fostering of the implementation of the IMP.

As regards the coordination between the ESI Funds in order to ensure effective, efficient and coordinated implementation, the information provided in this text box should include:

- a description for each of the relevant funding sources (ERDF, ESF, CF, EAFRD) identifying the bodies responsible for coordination and outlining the structures or arrangements (e.g. committees, consultation procedures) used for this purpose.

The content of the Partnership Agreement should be taken into account. The description of coordination mechanisms in the OP should complete and complement the Partnership Agreement, avoiding repetition.

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3.4.2. **MAIN ACTIONS PLANNED TO ACHIEVE A REDUCTION IN ADMINISTRATIVE BURDEN (ARTICLE 27(1) SUBPARAGRAPH 2 OF THE CPR)**

**Guidance**

Provide the list of main actions planned to achieve a reduction in administrative burden with an indicative timeframe (e.g. end date).

*Article 27(1) subparagraph 2 of the CPR*: Each programme shall [...] include [...] actions to achieve a reduction of the administrative burden on beneficiaries
### 3.5. Information on the macro-regional or sea-basin strategies (where relevant) (ARTICLE 27(3) OF THE CPR)

<table>
<thead>
<tr>
<th><strong>Legal base:</strong></th>
<th>Article 27(3) of CPR</th>
</tr>
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<tbody>
<tr>
<td>3. Where Member States and regions participate in macro-regional strategies or sea basin strategies, the relevant programme, in accordance with the needs of the programme area as identified by the Member State, shall set out the contribution of the planned interventions to those strategies.</td>
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</table>

| **Guidance** | Where appropriate, a description of how the macro regional and sea basin strategies have been taken into account in the specific objectives selected by the MS and in the selection of the relevant EMFF measures. |

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4. Requirements concerning specific EMFF measures

4.1. Description of the specific needs of Natura 2000 areas and the contribution of the programme to the establishment of a coherent network of fish stock recovery areas as laid out in Article 8 of the CFP Regulation (Article 18(1)(c) of the EMFF Regulation)

Guidance

- description of the state of designation of Natura 2000 network;
- brief description of how the specific needs of Natura 2000 areas have been integrated in the SWOT analysis of the OP;
- brief description on how the specific needs of Natura 2000 areas have been integrated in this strategy of the OP;
- indication of main actions planned to address the specific needs of Natura 2000 areas (in line with the Prioritised Action Framework and on the basis of the typology of measures in it, e.g. management planning, fishery management plans, marine habitat management/restoration, surveys/monitoring, awareness-raising);
- list of main actions planned to support the establishment of a coherent network of fish stock recovery areas.

4.2. Description of the action plan for the development, competitiveness and sustainability of small-scale coastal fishing (Article 18(1)(i) of the EMFF Regulation)

Guidance

This action plan has to be provided only by those Member States which have more than 1 000 small-scale coastal fishing vessels.

Summary of specific actions to be taken to support small-scale coastal fisheries through the EMFF OP.

4.3. Description of the method for the calculation of simplified costs in accordance with Article 67(1)(b) to (d) of CPR (Article 18(2) of the EMFF Regulation)
### Guidance

This section is only relevant if the MS intends to use simplified costs. Types of simplified costs are listed in Article 67(1) of the CPR.

---

#### 4.4. Description of the Method for the Calculation of Additional Costs or Income Foregone in Accordance with Article 96 (Article 18(2) of the EMFF Regulation)

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#### 4.5. Description of the Method for the Calculation of Compensation According to Relevant Criteria Identified for Each of the Activities Deployed Under Article 40(1), 53, 54, 55, 56(1)F and 67 (Article 18(2) of the EMFF Regulation)

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4 Guidance on simplified costs in the ESI Funds is currently under preparation and will be available shortly.
4.6. **As regards the measures for the permanent cessation of fishing activities under Article 33, such description shall include the targets and measures to be taken for the reduction of the fishing capacity in accordance with Article 22 of the CFP Regulation. A description of the method for the calculation of the premium to be granted under Articles 33 and 34 shall also be included (Article 18(1)(b)II) of the EMFF Regulation**

**Legal base:**

Article 22 of the CFP

[...]

2. In order to achieve the objective referred to in paragraph 1, Member States shall send to the Commission, by 31 May each year, a report on the balance between the fishing capacity of their fleets and their fishing opportunities. To facilitate a common approach across the Union, that report shall be prepared in accordance with common guidelines which may be developed by the Commission indicating the relevant technical, social and economic parameters.

The report shall contain the annual capacity assessment of the national fleet and of all fleet segments of the Member State. The report shall seek to identify structural overcapacity by segment and shall estimate the long-term profitability by segment. The reports shall be made publicly available.

[...]
Guidance on targets and measures for the reduction of fishing capacity

This section should include the action plans which set out the adjustment targets and tools to achieve a balance and a clear time-frame for its implementation, in line with Article 22 of the CFP regulation. In relation to permanent cessation schemes, this section should list the following targets and tools:

• the number of fishing vessels to be scrapped (including in GT and kW) per fleet segment that is not effectively balanced with fishing opportunities;
• a description of the permanent cessation scheme, including duration, selection criteria, to be implemented
• the methodology for calculating the scrapping premium, including whether a competitive bidding scheme is envisaged.

Guidance on permanent cessation without scrapping

• a description of any permanent cessation schemes that retrofit vessels for activities other than commercial fishing
• a description of any permanent cessation schemes for traditional wooden vessels that, with a view to preserving maritime heritage, retain a land-based heritage function.

For both permanent cessation with and without scrapping, a description should be provided on the methodology for calculating the permanent cessation premium, including whether a competitive bidding scheme is envisaged.

For temporary cessation:

• a description of the method for the calculation of the premium

4.7. Mutual funds for adverse climatic events and environmental incidents (Article 35 of the EMFF Regulation)

Guidance

Where a Member State decides to limit the costs that are eligible for support by applying ceilings per mutual fund, please provide details and justifications on those ceilings.

4.8. Description on the use of technical assistance (Article 78 of the EMFF Regulation)
4.8.1. Technical assistance at the initiative of the MS (Article 78(1)(a) of the EMFF Regulation)

4.8.2. Establishment of national networks (Article 78(1)(b) of the EMFF Regulation)

Guidance
It is strongly recommended that Member States which intend to establish several FLAGs set up national networks of FLAGs.

If you intend to establish a national network for FLAGs, please provide information on its tasks, its budget and the timeframe for setting it up.
5. **Specific information on integrated territorial development**

5.1. **Information on the implementation of CLLD**

The information should focus on the role of CLLD in the EMFF OP, in line with information contained in the Partnership Agreement and avoiding duplication of information already included in the latter.

5.1.1. *A description of the strategy for CLLD*

<table>
<thead>
<tr>
<th>Legal basis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Articles 32-35 CPR, Articles 60-64 of the EMFF Regulation</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please provide information on the size and location of the fisheries and aquaculture sectors, the location of main fishing harbours and aquaculture sites, and the location of protected areas (ICZM, MPAs, Natura 2000). This information should be presented in maps which should be attached to the operational programme. It will be used to inform the overall strategy for CLLD and the designation of eligible fisheries and aquaculture areas.</td>
</tr>
</tbody>
</table>

Provide a description of what you aim to achieve through CLLD\(^5\). This should be informed by the outcome of the SWOT analysis, taking into account the experience with the implementation of Axis 4 of the EFF in the 2007-2013 period.

Please establish the link with the result indicators used in table 3.2 (specific objectives and result indicators).

Under Union priority number 4 (“To increase employment and territorial cohesion in fisheries areas”) MS should only include CLLD. All other measures, in particular those linked to diversification (e.g. article 30 of the EMFF Regulation), included in the chapter on sustainable fisheries, aquaculture, processing and marketing should be programmed under Union priorities 1, 2 and 5. Placing only CLLD measures under Union Priority number 4 would increase the co-financing rate for CLLD by 10% (in line with Article 94(4)).

The measures relevant for CLLD are: preparatory support, implementation of local development strategies (including running costs and animation) and cooperation (see CPR Article 35 and Articles 62, 63 and 64 of the EMFF Regulation, table 3.3 of this document).

Provide a description of the use of preparatory support (selection and approval procedures, and funding arrangements).

If support for fisheries areas is provided in the framework of a multi-fund approach, please explain how this will be organised/ co-ordinated, including joint preparatory support, use of Lead fund (article 32(4) CPR), etc.

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\(^5\) See the guidance on CLLD in the ESI Funds for further information:

5.1.2. A LIST OF CRITERIA APPLIED FOR SELECTING THE FISHERIES AREAS (Article 18(1)(g) of the EMFF Regulation)

**Guidance**

Please provide the following information:

- broad criteria for identifying the types of areas that would be eligible for CLLD under the EMFF
- The OP should not contain a list of areas since the definition of precise boundaries of the area where a FLAG intends to implement its strategy should be left to the local level.
- Justification for selection of fisheries areas whose population falls outside the limits set out in CPR Article 33(6) (10,000 - 150,000 inhabitants), complementary to what is provided in the Partnership Agreement;

5.1.3. A LIST OF SELECTION CRITERIA FOR LOCAL DEVELOPMENT STRATEGIES (ARTICLE 18(1)(H) OF THE EMFF REGULATION)

**Guidance**

Selection of local development strategies:

Please provide the following information:

- criteria for selecting local development strategies
- procedure and timetable for select local development strategies (one or two-stage selection procedure, one or several selection rounds, etc.),
- information on criteria for allocating budgets to FLAGs.

If a MS opts to select cooperation projects centrally, Member States are invited to explain how the selection of cooperation projects will be organised by the MA (including the timeline, procedures and selection criteria)

In order to minimise the administrative burden, the Commission recommends MS to either attribute the cooperation budget together with the funding for the implementation of the local development strategies, or to establish a system of ongoing application where cooperation projects are not selected by the FLAGs.

---

6 Article 66.3 EMFF requires MS to establish an appropriate system for facilitating cooperation projects in cases where these are not selected by the FLAGs

**Guidance**
- Minimum tasks of the (F)LAGs are spelled out in article 34(3) of the CPR.
- If further tasks (such as formal project approval, certification and payment to beneficiaries) are delegated to the (F)LAGs please explain how this delegation will be established.
- Description of the arrangements and the procedures for the management and control systems of EMFF and explaining how the (F)LAGs are integrated into these systems.

5.1.5. **INFORMATION ON ADVANCE PAYMENTS TO FLAGS UNDER ARTICLE 62(2) (ARTICLE 18(2) OF THE EMFF REGULATION)**

**Guidance**

Article 62(2) specifies that FLAGs may request an advance from the managing authority if such possibility is provided for in the operational programme. The amount of the advances shall not exceed 50% of the public support related to running costs and animation.

If you intend to provide for the possibility of advance payments, please specify under which conditions these can be made.

For the section on national networks for FLAGs (article 78(1)(b)) please refer to point 4.7.2 (technical assistance)
5.2. **INFORMATION ON INTEGRATED TERRITORIAL INVESTMENTS (ITIs) (ARTICLE 36(2) OF CPR)**

*Where an ITI established under the Structural Funds is complemented by financial support from the EMFF, please fill in the table below:*

<table>
<thead>
<tr>
<th>EMFF measures covered [select from a drop-down list]</th>
<th>Indicative financial allocation from EMFF, euro</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;5.2 type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
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<td>&lt;5.2 type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
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<td>...</td>
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</tbody>
</table>

...
6. **FULFILMENT OF EX ANTE CONDITIONALITIES**

**Legal basis:**

**Article 19(2) of CPR**
Each programme shall identify which of the ex ante conditionalities laid down in the relevant Fund-specific rules and the general ex ante conditionalities set out in Part II of Annex XI are applicable to it and, which of them, pursuant to the assessment referred to in paragraph 1, are fulfilled at the date of submission of the...programmes. Where the applicable ex ante conditionalities are not fulfilled, the programme shall contain a description of the actions to be taken, the bodies responsible and the timetable for their implementation. Member States shall fulfil those ex ante conditionalities not later than 31 December 2016.

**Article 18(1)(d) of the EMFF Regulation**
The assessment of the specific ex ante conditionalities for the purpose of Article 11 and annex III and, where required, the actions referred to in Article 19(3) of the CPR

6.1. **IDENTIFICATION OF APPLICABLE EX ANTE CONDITIONALITIES AND ASSESSMENT OF THEIR FULFILMENT**

**Guidance**

*This section of the OP requires MS to set out all applicable EMFF specific and general ex ante conditionalities (EACs).**

However, for the general ex ante conditionalities it will be sufficient to refer to the assessment carried out at the level of the Partnership Agreement.

For each applicable EAC it is necessary to identify the Union priorities to which it applies and provide an assessment of the fulfilment of each criterion. Where an applicable EAC is not fulfilled, Member States need to set out the actions to be taken to fulfil the EAC (at the latest end 2016), as well as the bodies responsible and the timetable for their implementation.

*See the guidance documents on ex ante conditionalities for further information*.7

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### 6.1.1. Table: Applicable EMFF specific ex ante conditionalities and assessment of their fulfilment

<table>
<thead>
<tr>
<th>Ex ante conditionality (EAC) [select from a drop-down list, pre-defined by the COM]</th>
<th>Union Priority or priorities to which the applicable conditionality applies [select from a drop-down list, pre-defined by the COM]</th>
<th>Is applicable conditionality fulfilled YES / NO / PARTIALLY</th>
<th>Criteria</th>
<th>Criteria fulfilled (Yes/No)</th>
<th>Self-assessment with explanations on the fulfilment of each criterion of the applicable ex ante conditionalities</th>
<th>References (to strategies, legal acts or other relevant documents, incl. relevant sections, articles or paragraphs, accompanied by web-links or access to full text)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;B&quot; input=&quot;S&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;M&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;M&quot;&gt;</td>
<td>(&lt;6.1) type=&quot;S&quot; input=&quot;M&quot;&gt;</td>
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</tr>
</tbody>
</table>
6.1.2. Table: Applicable general ex ante conditionalities and assessment of their fulfilment

Guidance

For the general ex ante conditionalities applicable to the EMFF OP (see Part II of Annex XI of the CPR) please refer to the assessment outlined in the Partnership Agreement (clear text needs to be provided, a mere reference to the relevant section of the Partnership Agreement is not sufficient).
6.2. DESCRIPTION OF THE ACTIONS TO BE TAKEN, THE BODIES RESPONSIBLE AND THE TIMETABLE FOR THEIR IMPLEMENTATION (Article 19(2) of the CPR)

6.2.1. Table: Actions envisaged to achieve the fulfilment of the EMFF specific ex ante conditionalities

<table>
<thead>
<tr>
<th>Ex ante conditionality [select from a drop-down list, pre-defined by the COM]</th>
<th>Criteria not fulfilled [select criterion from a drop-down list, pre-defined by the COM]</th>
<th>Actions to be taken</th>
<th>Deadline (date)</th>
<th>Bodies responsible for fulfilment</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;6.2 type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>&lt;6.2 type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>&lt;6.2 type=&quot;S&quot; maxlength=&quot;1000&quot; input=&quot;M&quot;&gt; Action 1</td>
<td>&lt;6.2 type=&quot;D&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;6.2 type=&quot;S&quot; maxlength=&quot;500&quot; input=&quot;M&quot;&gt; Body x</td>
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</tr>
</tbody>
</table>

6.2.2. Table: Actions envisaged to achieve the fulfilment of the general ex ante conditionalities

**Guidance**

*Please refer to relevant sections of the Partnership Agreement (clear text needs to be provided, a mere reference to the relevant section of the Partnership Agreement is not sufficient).*

<6.2 type="S" maxlength="3500" input="M">
7. DESCRIPTION OF THE PERFORMANCE FRAMEWORK

**Legal basis:**
Article 18(1)(e) of the EMFF Regulation
A description of the performance framework within the meaning of Article 20 of CPR.

**Annex II of the CPR**
1. The performance framework shall consist of milestones established for each priority, with the exception of priorities dedicated to technical assistance and programmes dedicated to financial instruments in accordance with Article 39, for the year 2018 and targets established for 2023. The milestones and targets shall be presented in accordance with the format set out in table 1.

2. Milestones are intermediate targets, directly linked to the achievement of the specific objective of a priority, where appropriate, expressing the intended progress towards the targets set for the end of the period. Milestones established for 2018 shall include financial indicators, output indicators and, where appropriate result indicators, which are closely linked to the supported policy interventions. Result indicators shall not be taken into account for the purposes of Article 22(6) and (7). Milestones may also be established for key implementation steps.

3. Milestones and targets shall be:
   (a) realistic, achievable, relevant, capturing essential information on the progress of a priority;
   (b) consistent with the nature and character of the specific objectives of the priority;
   (c) transparent, with objectively verifiable targets and the source data identified and, where possible, publicly available;
   (d) verifiable, without imposing a disproportionate administrative burden;
   (e) consistent across programmes, where appropriate.

4. The targets for 2023 for a given priority shall be established taking into account the amount of performance reserve related to the priority.


**Guidance:**
7.1. **Table: Performance framework**

Table below will be repeated for each Union priority selected earlier.

<table>
<thead>
<tr>
<th>Union priority</th>
<th>Milestone for 2018</th>
<th>Targets for 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicator and measurement unit, where appropriate (Annex 1 of the CPR) [Output indicators from those previously selected in section 3.3 under the Union priorities to be included in the performance framework]</td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td><strong>Financial indicator</strong></td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td><strong>Output indicator 1</strong></td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
</tr>
<tr>
<td><strong>Output indicator 2</strong></td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
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<tr>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>

7.2. **Table: justification for the choice of output indicators to be included in the performance framework**

*Table below will be repeated for each Union priority selected earlier.*

<table>
<thead>
<tr>
<th>Union priority</th>
<th>Rationale for the selection of output indicators included in the performance framework&lt;sup&gt;8&lt;/sup&gt;, including an explanation of the share of financial allocation represented by operations, which will produce the outputs, as well the method applied to calculate the share, which must exceed 50% of the financial allocation to the priority</th>
<th>Data or evidence used to estimate the value of milestones and targets and the calculation method (e.g. unit costs, benchmarks, standard or past rate of implementation, expert advice, conclusions of ex-ante evaluation)</th>
<th>Information on how the methodology and mechanisms to ensure consistency in the</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Rationale for the selection of output indicators included in the performance framework</strong>&lt;sup&gt;8&lt;/sup&gt;, including an explanation of the share of financial allocation represented by operations, which will produce the outputs, as well the method applied to calculate the share, which must exceed 50% of the financial allocation to the priority</td>
<td>&lt;7.2 type=&quot;S&quot; maxlength=&quot;1000&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.2 type=&quot;S&quot; maxlength=&quot;1000&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;7.2 type=&quot;S&quot; maxlength=&quot;1000&quot; input=&quot;M&quot;&gt;</td>
</tr>
</tbody>
</table>

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<sup>8</sup> Output indicators are defined by the choice of measures, but the subset of output indicators used in the performance framework will have to be justified.
functioning of the performance framework have been applied in line with the provisions of the Partnership Agreement

* See implementing act mentioned above
8. **FINANCING PLAN**

<table>
<thead>
<tr>
<th>Legal basis:</th>
<th>Article 20(1) of the CPR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. 6% of the resources allocated to the ERDF, ESF and the Cohesion Fund under the Investment for Growth and Jobs goal [...] and to measures financed under shared management in accordance with the EMFF Regulation shall constitute a performance reserve which shall be established in the Partnership Agreement and programmes and allocated to specific priorities in accordance with Article 22 of this Regulation.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Article 22(1) of the CPR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The performance reserve shall constitute between 5 and 7% of the allocation to each priority within a programme, with the exception of priorities dedicated to technical assistance and programmes dedicated to financial instruments in accordance with Article 39. The total amount of the performance reserve allocated by ESI Fund and category of region shall be 6%. The amounts corresponding to the performance reserve shall be set out in the programmes broken down by priority [...].</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Article 18(1)(k) of the EMFF Regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td>a financing plan which is to be designed by taking into account Article 20 of Regulation (EU) No 1303/2013 and in accordance with the Commission implementing act referred to in Article 16(2) of this Regulation, comprising:</td>
</tr>
<tr>
<td>(i) a table setting out the total EMFF contribution planned for each year;</td>
</tr>
<tr>
<td>(ii) a table setting out the applicable EMFF resources and co-financing rate under the Union priorities set out in Article 6 of this Regulation and for technical assistance; by way of derogation from the general rule laid down in Article 94(2) of this Regulation, that table shall, where applicable, indicate separately the EMFF resources and the co-financing rates which apply for the support referred to in Articles 33, 34, Article 41(2), Articles 67 and 70, points (a) to (d) and (f) to (l) of Article 76(2), point (e) of Article 76(2) and Article 77 of this Regulation.</td>
</tr>
</tbody>
</table>

*although the EMFF foresees the setting of the EMFF resources and co-financing rate at the level of objectives, for simplification purposes, the OP template requires this information at Union priority level, except for some EMFF measures for which the co-financing rate is fixed and different from the general rules applied to the co-financing rate*
8.1. **Total EMFF contribution planned for each year, euro**

**Guidance**
This table will be filled in automatically by SFC2014 based on the COM decision on the financial appropriations per MS. No action by MS is needed.
The annual performance reserve is set at 6% annually (Article 20 of the CPR)

<table>
<thead>
<tr>
<th>Year</th>
<th>EMFF main allocation</th>
<th>EMFF performance reserve</th>
</tr>
</thead>
<tbody>
<tr>
<td>2014</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
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<tr>
<td>2015</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
</tr>
<tr>
<td>2016</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
</tr>
<tr>
<td>2017</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
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<tr>
<td>2018</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
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<tr>
<td>2019</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
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<tr>
<td>2020</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</strong></td>
<td><strong>&lt;8.1 type=&quot;N&quot; input=&quot;G&quot;&gt;</strong></td>
</tr>
</tbody>
</table>

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9 EMFF main allocation = Total Union allocation less allocation to performance reserve.
### Guidance

Some Union Priorities are divided in several rows in order to respect the thresholds of Article 13(2) to (7) of the EMFF Regulation, as well as the specific co-financing rates set in Article 94(2) to (4) of the EMFF Regulation.

Only 3 columns need a manual input of the MS:

- 'a) EMFF contribution (performance reserve included)'
- 'b) National public counterpart'
- 'f) EMFF performance reserve'.

All the other columns are generated automatically by SFC2014.

#### Performance reserve:

- Article 20 of the CPR: the performance reserve at the level of the OP shall be 6%;
- Article 22 of the CPR: the performance reserve at the level of the priority shall be between 5 and 7%; no performance reserve is allocated to the technical assistance.

No performance reserve shall be allocated to measures:

- 'Permanent cessation' (see Article 34(4))
- 'Storage aid' (see Article 67(2))
- 'Technical assistance'
<table>
<thead>
<tr>
<th>Union Priorities</th>
<th>Measure(s) under the Union Priority</th>
<th>EMFF contribution (performance reserve included)</th>
<th>National counterpart (performance reserve included)</th>
<th>EMFF co-financing rate</th>
<th>EMFF support</th>
<th>National counterpart</th>
<th>EMFF Performance reserve</th>
<th>National counterpart&lt;sup&gt;10&lt;/sup&gt;</th>
<th>Performance reserve amount as proportion of total Union support</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Promoting environmentally sustainable, resource efficient, innovative, competitive and knowledge based fisheries</td>
<td>Article 33, Article 34 and Article 41(2) (Article 13(2) of the EMFF)</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>50%</td>
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<td></td>
<td>10&lt;sup&gt;a&lt;/sup&gt; a / (a + b) + 100</td>
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<tr>
<td></td>
<td>Financial allocation for the rest of the Union priority 1 (Article 13(2) of the EMFF)</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>max 75%, min 20%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>10&lt;sup&gt;a&lt;/sup&gt; a / (a + b) + 100</td>
</tr>
<tr>
<td>2. Fostering environmentally sustainable, resource efficient, innovative, competitive and knowledge based aquaculture (Article 13(2) of the EMFF)</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>max 75%, min 20%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>10&lt;sup&gt;a&lt;/sup&gt; a / (a + b) + 100</td>
</tr>
<tr>
<td>3. Fostering the implementation of the CFP</td>
<td>the improvement and supply of scientific knowledge and collection and management of data (Article 13(4) of the EMFF)</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>80%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>10&lt;sup&gt;a&lt;/sup&gt; a / (a + b) + 100</td>
</tr>
<tr>
<td></td>
<td>the support to monitoring, control and enforcement,</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;8.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>90%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>10&lt;sup&gt;a&lt;/sup&gt; a / (a + b) + 100</td>
</tr>
</tbody>
</table>

<sup>10</sup> The national counterpart is divided pro-rata between the main allocation and the performance reserve

**Notes:**
- The performance reserve amount as a proportion of total Union support is calculated as: $h = f / a \times 100$
- National counterpart = $a / (a + b)$
- EMFF co-financing rate = $c = a / (a + b) + 100$
- $d = a - f$
- $e = b - g$
- $g = b * (f / a) * 100$
- Financial allocation for the rest of the Union priority 1 (Article 13(2) of the EMFF) is subject to a minimum of 20% EMFF support.
<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>enhancing institutional capacity and an efficient public administration without increasing the administrative burden (Article 76(2)(a) to (d) and (f) to (l) (Article 13(3) of the EMFF)</strong></td>
<td>the support to monitoring, control and enforcement, enhancing institutional capacity and an efficient public administration without increasing the administrative burden (Article 76(2)(e)) (Article 13(3) of the EMFF)</td>
<td>70%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4. Increasing employment and territorial cohesion (Article 13(2) of the EMFF)</td>
<td>max 85%, min 20%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>5. Fostering marketing and processing</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Storage aid (Article 67) (Article 13(6) of the EMFF)</td>
<td>100%</td>
<td>0 0 0 0</td>
</tr>
<tr>
<td></td>
<td>Compensation for outermost regions (Article 70) (Article 13(5) of the EMFF)</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Financial allocation for the rest of the Union priority 5 (Article 13(2) of the EMFF)</td>
<td>max 75%, min 20%</td>
<td></td>
</tr>
<tr>
<td>6. Fostering the implementation of the Integrated Maritime Policy (Article 13(7) of the EMFF)</td>
<td>max 75%, min 20%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
<tr>
<td>Technical Assistance (Article 13(2) of the EMFF)</td>
<td>max 75%, min 20%</td>
<td>0 0 0</td>
<td></td>
</tr>
<tr>
<td>Total [automatically calculated]:</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
</tbody>
</table>
### 8.3. EMFF contribution to the thematic objectives of the ESI Funds (Article 9 of the CPR)

<table>
<thead>
<tr>
<th>Thematic objective</th>
<th>EMFF contribution, euro</th>
</tr>
</thead>
<tbody>
<tr>
<td>(3) enhancing the competitiveness of SMEs, of the agricultural sector (for the EAFRD) and of the fishery and aquaculture sector (for the EMFF)</td>
<td>&lt;8.3 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>(4) supporting the shift towards a low-carbon economy in all sectors;</td>
<td>&lt;8.3 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>(6) preserving and protecting the environment and promoting resource efficiency;</td>
<td>&lt;8.3 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>(8) promoting sustainable and quality employment and supporting labour mobility.</td>
<td>&lt;8.3 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
</tr>
</tbody>
</table>
9. **HORIZONTAL PRINCIPLES**


* Article 5 is described in the OP section 1 "Preparation of the OP and involvement of the partners"

9.1.1. **PROMOTION OF EQUALITY BETWEEN MEN AND WOMEN AND NON-DISCRIMINATION (ARTICLE 7 OF THE CPR)**

**Guidance**

Provide a description of the specific actions to promote equal opportunities and prevent any discrimination based on sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation during the preparation, design and implementation of the operational programme and in particular in relation to access to funding taking account of the needs of the various target groups at risk of such discrimination and in particular the requirements of ensuring accessibility for disabled persons.

Provide a description of the contribution to the promotion of equality between men and women and, where appropriate, the arrangements to ensure the integration of the gender perspective at operational programme and operation level.

9.1.2. **SUSTAINABLE DEVELOPMENT (ARTICLE 8 OF THE CPR)**

**Guidance**

Description of specific action to take into account in the selection of operations differentiating between the following aspects, where relevant:

- environmental protection requirements,
- resource efficiency,
- climate change mitigation and adaptation,
- disaster resilience and risk prevention and management.

9.2. **INDICATION OF THE INDICATIVE AMOUNT OF SUPPORT TO BE USED FOR CLIMATE CHANGE OBJECTIVES (ARTICLE 27(6) OF THE CPR)**
Legal basis

Article 27(6) of the CPR
Each programme, except those where technical assistance is undertaken under a specific programme, shall set out the indicative amount of support to be used for climate change objectives, based on the methodology referred to in Article 8

Annex 3 of the COMMISSION IMPLEMENTING REGULATION (EU) No 215/2014 of 7 March 2014 laying down rules for implementing Regulation (EU) No 1303/2013 of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund with regard to methodologies for climate change support, the determination of milestones and targets in the performance framework and the nomenclature of categories of intervention for the European Structural and Investment Funds


Guidance

List of measures is generated automatically by SFC2014 based on the selection of the measures under the chapter "Description of the strategy" (section 3.3). SFC2014 will also fill in the column "Coefficient" with the percentage indicated in Annex 3 of the CPR implementing act.

<table>
<thead>
<tr>
<th>EMFF measures contributing to the climate change objectives</th>
<th>Coefficient [the relevant % will be generated by SFC2014]*</th>
<th>The indicative EMFF contribution, euro [an amount per measure summed-up]</th>
<th>Share of the total EMFF allocation to the operational programme (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;9.2 type=&quot;S&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;9.2 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
<td>&lt;9.2 type=&quot;N&quot; input=&quot;M&quot;&gt;</td>
<td>&lt;9.2 type=&quot;N&quot; input=&quot;G&quot;&gt;</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>

* For some measures, the MS can change the percentage proposed from '0%' to '40%.'
## 10. Evaluation Plan

### Legal base:
- Article 18(1)(j): the evaluation requirements and the evaluation plan referred to in Article 56 of the CPR and actions to be taken to address the identified needs.
- COMMISSION IMPLEMENTING REGULATION (EU) No 771/2014 of 14 July 2014 laying down rules pursuant to Regulation (EU) No 508/2014 of the European Parliament and of the Council on the European Maritime and Fisheries Fund with regard to the model for operational programmes, the structure of the plans for the compensation of additional costs incurred by operators in the fishing, farming, processing and marketing of certain fishery and aquaculture products from the outermost regions, the model for the transmission of financial data, the content of the ex ante evaluation reports and the **minimum requirements for the evaluation plan to be submitted under the European Maritime and Fisheries Fund**

### Guidance

The evaluation plan presents the way evaluation activities will be implemented during the period covered by the OP. The minimum requirement for the evaluation plan will be laid down in an implementing act and will include the evaluations foreseen in the CPR Article 56(3).

The description of the evaluation plan in the OP creates a general framework in which all evaluation activities will be organised. To keep maximum flexibility, the evaluation plan should not include a precise list of evaluations going beyond the compulsory exercises foreseen by the CPR. However, evaluation needs goes usually beyond these compulsory elements and further evaluations should therefore be foreseen in the plan. However, their planning can be done a later stage via the relevant Annual Implementation Reports.

See the guidance document on monitoring and evaluation for further information.

### Objectives and purpose of the Evaluation Plan

The SWOT analysis and the ex ante evaluation should provide an assessment of the evaluation needs for the period. Objectives and purpose should address those needs ensuring that sufficient and appropriate evaluation activities are undertaken, in particular to provide information needed for programme steering, for the AIRs in 2017 and 2019 and the ex-post evaluation, and to ensure that data needed for EMFF evaluations is available.

### Governance and coordination

Brief description of the monitoring and evaluation arrangements, providing information on the coordination with the EMFF OP implementation. Identification of the main bodies involved and their responsibilities. Information on the management of evaluation, including organisational structures such as an evaluation unit and/or a Steering Group, quality control, simplification, etc.
| Evaluation topics and activities | <10 type="S" maxlength="7000" input="M"> Provide information on evaluation topics and activities anticipated, including, but not limited to, fulfilment of EU requirements. It should cover activities needed to evaluate contribution of each priority to objectives, assessment of results indicators value and impacts, analysis of net effect, thematic issues, cross-cutting issues such as sustainable development, climate change, and any other specific evaluation needs. |
| Data and information strategy | <10 type="S" maxlength="7000" input="M"> Brief description of the system to record, maintain, manage and report statistical information on OP implementation and provision of monitoring data for evaluation. Identification of data sources to be used, data gaps, potential institutional issues related to data provision, and proposed solutions. This section should demonstrate that appropriate data management systems will be operational in due time. |
| Timeline | <10 type="S" maxlength="3500" input="M"> Outline indicative planning of activities in order to ensure availability of evaluation results at the required time, in particular with regards to the compulsory evaluations foreseen in the CPR, the input needed for enhanced AIRs in 2017 and 2019, and the ex-post evaluation report. |
| Specific requirements for evaluation of CLLD | <10 type="S" maxlength="7000" input="M"> Description of support foreseen for evaluation at the level of FLAGs in particular on the use of self-evaluation methods, guidance for FLAGs to enable aggregate achievements to be demonstrated at the EMFF OP level. |
| Communication | <10 type="S" maxlength="7000" input="M"> Description of information on dissemination of evaluation results to stakeholders and policy makers, mechanisms to follow-up on use of evaluation results. |
| Resources | <10 type="S" maxlength="7000" input="M"> Description of the resources needed and foreseen to implement the plan, including an indication of administrative capacity, data, financial resources, IT needs. Description of capacity building activities foreseen to ensure that the evaluation plan can be fully implemented. |
11. **Programme Implementing Arrangements**

**Legal base:**

*Article 18(1)(m) of the EMFF Regulation*

Programme implementing arrangements including:

(i) identification of the authorities referred to in Article 123 of Regulation (EU) No 1303/2013 and, for information purposes, a summary describing the management and control system;

(ii) a description of the respective roles of the FLAGs, the managing authority or designated body for all implementation tasks relating to the community-led local development strategy;

(iii) a description of the monitoring and evaluation procedures, as well as the general composition of the monitoring committee referred to in Article 48 of Regulation (EU) No 1303/2013;

(iv) the provisions to ensure that the programme is publicised in accordance with Article 119 of this Regulation

### 11.1. Identification of authorities and intermediate bodies

<table>
<thead>
<tr>
<th>Authority/body</th>
<th>Name of the authority/body</th>
</tr>
</thead>
<tbody>
<tr>
<td>Managing authority (MA)</td>
<td>(&lt;11.1\ type=&quot;S&quot;\ maxlength=&quot;255&quot;\ input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>Intermediate body of the MA (if relevant)</td>
<td>(&lt;11.1\ type=&quot;S&quot;\ maxlength=&quot;255&quot;\ input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>Certifying authority (if relevant)</td>
<td>(&lt;11.1\ type=&quot;S&quot;\ maxlength=&quot;255&quot;\ input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>Intermediate body of the CA (if relevant)</td>
<td>(&lt;11.1\ type=&quot;S&quot;\ maxlength=&quot;255&quot;\ input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>Audit authority</td>
<td>(&lt;11.1\ type=&quot;S&quot;\ maxlength=&quot;255&quot;\ input=&quot;M&quot;&gt;</td>
</tr>
</tbody>
</table>
11.2. Description of the monitoring and evaluation procedures (Article 18(m)iiii)

**Guidance**

Description of the different elements of the monitoring system, indicating the different sources of information and the way information will circulate and be stored. This will take into account the information produced by Intermediate Bodies and CLLD Local Action Groups. The electronic information system foreseen in Article 110 should be described in this section. It should be designed using the common structure set out by the Commission in delegated and implementing acts\(^{11}\).

The summary description should include an explanation on how:

- the monitoring of the quality of the OP implementation by means of financial, output and result indicators (Article 109 of the EMFF) will be carried out;
- the information required for the Annual Implementation Reports and the Progress Reports in 2017 and 2019 will be collected and validated on the basis of the list of output, result and context indicators of the OP.

---

11.4. A summary description of the information and publicity measures to be carried out in accordance with Article 119 (Article 18(1)(m)(iv) of the EMFF Regulation)
12. **Information on the Bodies responsible for Implementing the Control, Inspection and Enforcement System**

**Legal base:**
*Article 18(1)(o) of the EMFF Regulation*

for the objective of ensuring increased compliance through control referred to in Article 6(3)(b), and in accordance with the actual priorities adopted by the Commission pursuant to Article 17(3):

(i) a list of the bodies implementing the control, inspection and enforcement system and a brief description of their human and financial resources available for fisheries control, inspection and enforcement, and their major equipment available for fisheries control, inspection and enforcement, in particular the number of vessels, aircraft and helicopters;

(ii) the overall objectives of the control measures to be implemented, using common indicators to be set in accordance with Article 109;  

(iii) specific objectives to be achieved in accordance with the Union priorities set out in Article 6 and a detailed indication by category over the entire programming period

---

**Guidance**

This section should contain the following elements:

(i) Information on the bodies implementing the control, inspection and enforcement system; a brief description of their human and financial resources available, and the information on the major equipment available in particular the number of vessels, aircraft and helicopters.

(ii) List of selected types of operations listed in the EMFF (Article 76). These should be linked to the priorities defined by the Commission in line with Article 20(3):

1. Action plans in accordance with the Council Regulation (EC) No 1224/2009 of 20 November 2009 and/or in the context of the assessment of the ex-ante conditionality

2. Data validation systems

3. Obligation to land all catches

4. Catch certificates

5. Engine power

6. Specific control and inspection programmes

7. Traceability requirements

---


12.1. Bodies implementing the control, inspection and enforcement system

<table>
<thead>
<tr>
<th>Names of the authority/body</th>
</tr>
</thead>
<tbody>
<tr>
<td>Body No.x</td>
</tr>
<tr>
<td>Body No.y</td>
</tr>
<tr>
<td>...</td>
</tr>
</tbody>
</table>

12.2. Brief description of human and financial resources available for fisheries control, inspection and enforcement

<12.2 type="S" maxlength="3500" input="M"> |

12.3. The major equipment available, in particular the number of vessels, aircraft and helicopters

<12.3 type="S" maxlength="3500" input="M"> |

12.4. List of selected types of operations (Article 76 of the EMFF Regulation)

**Guidance**

In the column 'Description', the MS is expected to indicate for all types of operations listed in Article 76(2) the number of items/devices it plans to finance.

For example, for Article 76(2)b) the MS should specify the indicative number of ERS, VMS or/and AIS to be supported.

<table>
<thead>
<tr>
<th>Type of operation [select from a drop-down list, pre-defined by the COM]</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Selected type of operation</td>
<td>&lt;12.4 type=&quot;S&quot; maxlength=&quot;2000&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>&lt;12.4 type=&quot;S&quot; input=&quot;S&quot;&gt;</td>
<td>&lt;12.4 type=&quot;S&quot; maxlength=&quot;2000&quot; input=&quot;M&quot;&gt;</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>
12.5. Link to priorities defined by the Commission\textsuperscript{14} as set out in Article 20(3):

\begin{verbatim}
\<12.5 type="S" maxlength="3500" input="M"\>
\end{verbatim}
13. DATA COLLECTION

**Legal basis:**
*Article 18(1)(p) of the EMFF Regulation*

For the objective of collection of data for sustainable fisheries management referred in under Article 6(3)a) and in accordance with the multiannual Union programme referred to in Article 3 of Council Regulation (EC) No. 199/2008

(i) a description of activities of data collection, in line with paragraph 1 of Article 25 of CFP Regulation;

(ii) a description of data storage methods, data management and data use;

(iii) a description of the capability to achieve sound financial and administrative management of the data collected

This section of the operational programme shall be supplemented by Article 23.

Guidance

This section refers to what data will be collected during the period 2014-2020 in application of the Data Collection Framework Regulation (EC) No. 199/2008 and the EU Multiannual Programme (C(2013)5243\textsuperscript{15} for 2014-2016, and any successors to it). This section should be organized in two parts:

1. Activities

Part A: For the period 2014-2016

Part A should include a reference to the implementation of the MS' National Programme for 2014-2016 (NP2014-2016) (plus a reference to the legal act). Member States should also briefly present, if relevant, any additional activities foreseen, not covered by their NP2014-2016 e.g. pilot projects.

Land-locked Member States should briefly present any data collection activities relating to socio-economic data on the aquaculture or processing sector, where available.

Part B: For the period post-2016

Part B should include a brief description of the following:

Activities to be carried out at a national or regional level in order to collect biological, technical, environmental and socio-economic data concerning:
- commercial fisheries*;
- recreational fisheries*;
- aquaculture activities*;
- industries processing fisheries products*;

* Where such fisheries/industries are present in a Member State

This description should include details about data collection schemes (including research surveys at sea and at-sea monitoring of commercial fisheries).

This description should include details about bilateral and multilateral agreements to be concluded in order to collect, manage or use data.

Land-locked Member States should refer to any envisaged data collection activities relating to socio-economic data on the aquaculture or processing sector, where available.

A detailed description of the procedures and methods to be used in collecting and analysing data and in estimating their accuracy and precision should not be included here as this information is to be included in the National Work Programme (see Article 23 of the EMFF). A generic description may be included, though, if likely to remain stable over the period.

2. Main categories of eligible expenditure over the whole period

\textsuperscript{15} Commission Implementing Decision of 13.8.2013 extending the multiannual Union programme for the collection, management and use of data in the fisheries sector for the period 2011-2013 to the period 2014-2016
13.2. A DESCRIPTION OF DATA STORAGE METHODS, DATA MANAGEMENT AND DATA USE

**Guidance**

This section refers to the way in which the Member States’ DCF data will be stored, managed and used at national level. This section should include a description of the following:

1. An overview of the current national set-up for storing and managing different categories of DCF data*:

   This should include a description of the different IT systems/databases involved for DCF data storage and management at national level, the types of institutes/bodies where they are located, considerations on the safety of storage of DCF data, a description of current or likely future evolution of exchange of data between different national IT systems/databases.

2. An overview of any changes envisaged to the current set-up to increase its efficiency, reduce overlaps, and increase interoperability at national level and with other Member States or end users. **

3. An overview of the current control procedures in place at national level to ensure quality, completeness of the primary data collected and of the detailed and aggregated data derived therefrom for transmission to end users. Outline any changes envisaged to the current control procedures regarding data quality and completeness **

* Only applicable to Member States who have implemented the DCF to date.

** For land-locked Member States this applies only to socio-economic data on aquaculture and processing industries, where available.

13.3. A DESCRIPTION OF HOW SOUND FINANCIAL AND ADMINISTRATIVE MANAGEMENT IN DATA COLLECTION WILL BE ACHIEVED
Guidance

This section will describe how, within the overall monitoring & control system of the OP, the administrative and financial arrangements put in place for data collection will ensure a sound management of the data collection programme as well as the quality of the collection, storage, verification and transmission of data as set out in the DCF Regulation (EC) No 199/2008 and the EU Multianual Programme. This section should include a description of the following:

1. Establishment of a National Correspondent to coordinate at national level the scientific and technical aspects of the data collection work of institutes/bodies participating in the data collection programme.

2. Relations between the National Correspondent and the Managing Authority and the Certifying Authority

3. Reporting arrangements relating to inclusions of information on data collection in Member States' Annual Implementation Reports.

4. Arrangements for participation in regional coordination groups for data collection

5. Arrangements for participation in scientific and experts meetings relevant for data collection

6. Human and technical resources devoted to data collection, management and use including major equipment available such as research vessels, and improvements in all of these aspects over the past period.

<13.3 type="S" maxlength="7000" input="M">
### 14. Financial Instruments

**Legal basis:**

**Article 37(1) of the CPR**
The CSF Funds may be used to support financial instruments under one or more programmes, including when organised through funds of funds, in order to contribute to the achievement of specific objectives set out under a priority. [...] Financial Instruments shall be implemented to support investments which are expected to be financially viable and do not give rise to sufficient funding from market sources.

**Article 37(2) of the CPR**
Support for financial instruments shall be based on an ex ante assessment which has established evidence of market failures or suboptimal investment situations and the estimated level and scope of public investment needs, including types of financial instruments to be supported.[..]

**Article 18(1) of the EMFF Regulation**
Information on [...] other relevant Union and national funding instruments.

**Article 72(2) of the EMFF Regulation**
As regards enterprises other than SMEs, the support referred to in paragraph 1 shall be granted exclusively through the financial instruments provided for in Title IV of PART II of the CPR.

### 14.1. Description of the planned use of financial instruments

**Guidance**

Provide a general description, type of instrument (Union level/Other; Loan/Guarantee/Equity Investment/Quasi-Equity Investment/Risk-Sharing Instrument; Investment in the capital of a legal entity/Implementation entrusted to [EIB/...], etc.).

In providing this general description, MS should consider the lessons learned from the implementation of financial instruments under the EFF if relevant.

---

16 The Commission has prepared a reference guide for ESIF managing authorities (MA) intending to set up financial instruments in the 2014-2020 programming period under their respective programmes. It is designed to provide a quick explanatory overview of the main elements of the legislation and its content has been based largely on the subjects raised in enquiries received from MA and other stakeholders to date. In addition, a 'Technical Assistance Platform' for financial instruments is being built up. The TAP will be applicable to all ESI Funds and will provide common and fund-specific products related to financial instruments, covering the whole implementation cycle.

The Commission will also provide guidance of the ex-ante assessment referred to in Article 37(2) of the CPR. Managing Authorities can avail themselves of the so-called off-the-shelf instruments. These are templates offered by the Commission, which is currently working on the design of sets of standard conditions for a limited number of different products (including a loan instrument for SMEs, a guarantee instrument for SMEs, an equity instrument for SMEs, and a loan fund for energy efficiency or renewable energies in the building sector).
14.2. Selection of the EMFF measures planned to be implemented through the financial instruments

<table>
<thead>
<tr>
<th>EMFF measure</th>
<th>[select measures from a drop-down list pre-defined by the COM]</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;14.2 type=&quot;S&quot; input=&quot;S&quot; &gt;</td>
<td>...</td>
</tr>
</tbody>
</table>

14.3. Indicative amounts planned to be used through the financial instruments

<table>
<thead>
<tr>
<th>EMFF total amount 2014-2020, euro</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;14.3 type=&quot;N&quot; input=&quot;M&quot; &gt;</td>
</tr>
</tbody>
</table>
Attachments to the programme

*These attachments are for information only. They will not be part of the Commission decision.*

1. List of partners consulted (Article 26(2) of the CPR)
3. Report on Strategic Environmental Assessment (SEA)
4. Summary description of the management and control system (the summary description should also include an explanation on how the principle of separation of the functions and functional independence has been ensured)
5. Compensation plan for the outermost regions
6. Maps showing the size and location of the fisheries and aquaculture sectors, the location of main fishing harbours and aquaculture sites, and the location of protected areas (ICZM, MPAs, Natura 2000).